Mission Park Capital, LLC
Privacy Policy

Effective: November 25, 2026

Our Commitment to You

Mission Park Capital, LLC ("Mission Park") is committed to safeguarding the use of your personal information that we have as your Investment Advisor. Mission Park (referred to as "we", "our" and "us" throughout this notice) protects the security and confidentiality of the personal information we have and make efforts to ensure that such information is used for proper business purposes in connection with the management or servicing of your account. Our relationship with you is our most important asset. We understand that you have entrusted us with your private information, and we do everything we can to maintain that trust.

We do not sell your non-public personal information to anyone. Nor does Mission Park provide such information to others except for discrete and proper business purposes in connection with the servicing and management of your account as discussed below.

Details of our approach to privacy and how your personal non-public information is collected and used are set forth in this privacy policy.

The Information We Collect About You

You typically provide personal information when you complete the paperwork required to become our Client. This information may include your:

- Name and mailing address
- E-mail address
- Phone number
- Social security or taxpayer identification number
- Birthdate
- Assets
- Income
- Account balance
- Investment activity
- Accounts at other institutions

In addition, we may collect non-public information about you from the following sources:

- Information we receive on Brokerage Agreements, Managed Account Agreements and other Subscription and Account Opening Documents;
- Information we receive in the course of establishing a customer relationship including, but not limited to, applications, forms, and questionnaires;
- Information about your transactions with us or others

Information about You That Mission Park Shares

Mission Park works to provide products and services that benefit our customers. We can only share non-public personal information with non-affiliated third parties as necessary for us to provide agreed services and products to you consistent with applicable law, and only after receiving specific consent from you to share that information, which is provided here and in the investment advisory agreement. This includes, but is not limited to, brokers and custodians, estate planning providers, client relationship management providers, financial planning providers, cloud-based storage providers, artificial intelligence, audio/video recording, and meeting transcription/notetaking software providers, and generative artificial intelligence ("AI")-powered agents intended for investment advisors, and other related AI services for the purpose of

providing products and services that benefit our customers.

By accepting this privacy policy, you agree to Mission Park's use of generative artificial intelligence ("AI")-powered agents and other related AI services, and further acknowledge that in using these generative artificial intelligence ("AI")-powered agents, this data will be shared with third-party vendors, including but not limited to LLM Providers. By accepting this privacy policy, you are providing affirmative prior consent and agree in advance to participate in meetings where generative artificial intelligence ("AI")-powered agents will record a meeting. Mission Park will provide notice at the start of any recorded meeting so all participants are aware recording is active, and Client may request at any time that recording be disabled or paused for a specific meeting.

Mission Park configures its enterprise AI and LLM tools—and requires contractual data-protection commitments from its AI vendors—so that Client personally identifiable information ("PII") and Client-specific data are not used to train public or third-party AI models, are not permanently retained by external LLM providers outside Mission Park's secure books-and-records environment, and remain subject to U.S. data-residency controls where supported by the provider.. In addition, Mission Park will always evaluate the results of any artificial intelligence use and will not unilaterally accept the output from artificial intelligence platforms.

Your non-public personal information may also be disclosed to you, persons we believe to be your authorized agent or representative, or regulators in order to satisfy Mission Park's regulatory obligations, and as otherwise required or permitted by law.

To repeat, we do not sell your non-public personal information to anyone, and will not share your non-public personal information with non-affiliated third parties without your consent.

Information about Former Clients

Mission Park does not sell or disclose non-public personal information to non-affiliated third parties with respect to persons who are no longer our clients, except as necessary to complete account wind-down and final fee billing, maintain required books and records with contracted archiving/cloud service providers, or comply with applicable law and regulatory requests.

Confidentiality and Security

Our employees are advised about the firm's need to respect the confidentiality of our customers' non-public personal information. Additionally, we maintain physical, procedural and electronic safeguards in an effort to protect the information from access by unauthorized parties.

SMS Disclosure

By providing your mobile phone number and consenting to SMS communications, you opt in to receive informational, scheduling, and account-servicing text messages from Mission Park (promotional or marketing text messages, if any, require your separate affirmative opt-in). We may share your mobile number with trusted SMS platform and regulatory archiving providers who assist us in operating and retaining records of our SMS program under strict confidentiality obligations. Messages will be recurring and message frequency may vary. Message and data rates may apply. Reply STOP at any time to unsubscribe.

Opt-Out Options For Mobile Information

You have the right to opt out of receiving SMS and email communications from us at any time by:

- SMS: Replying "STOP" to any SMS message you receive from us.
- Email: Replying “STOP” to any email you receive from us.
- Our SMS program provides informational, scheduling, and account-servicing text messages related to our services. By subscribing to our SMS program, you consent to receive SMS messages at the phone number provided. Message frequency may vary based on your interaction with our service.
- We do not share your mobile information with third parties or affiliates for marketing or promotional purposes. Additionally, all the categories of personal data mentioned above exclude text messaging originator opt-in data and consent. This information will not be shared with any third parties under any circumstances. However, we may share your data with trusted service providers who assist us in operating our SMS program, provided they agree to keep your data confidential and use it solely for the purpose of providing services on our behalf.
- You have the right to access, correct, verify, or remove your personal information at any time. To manage your information, please contact us at 413-597-8901.
- Opt-Out Option: If you wish to opt-out of receiving SMS messages, you may do so at any time by replying "STOP" to any of our messages or by contacting us directly at 413-597-8901. Opting out will not affect your other interactions with our services.

Website

Mission Park Capital, LLC operates the https://www.missionparkcapital.com website, which provides the SERVICE. This section of the document is used to inform website visitors regarding our policies with the collection, use, and disclosure of Personal Information if anyone decided to use our Service, the Mission Park website. If you choose to use our Service, then you agree to the collection and use of information in relation with this policy. The Personal Information that we collect are used for providing and improving the Service. We will not use or share your information with anyone except as described in this Privacy Policy.

Information Collection and Use

For a better experience while using our Service, we may require you to provide us with certain personally identifiable information, including, but not limited to, your name, phone number, and postal address. The information that we collect will be used to contact or identify you.

Log Data

We want to inform you that whenever you visit our Service, we collect information that your browser sends to us that is called Log Data. This Log Data may include information such as your computer’s Internet Protocol ("IP") address, browser version, pages of our Service that you visit, the time and date of your visit, the time spent on those pages, and other statistics.

Cookies

Cookies are files with a small amount of data that is commonly used as an anonymous unique identifier. These are sent to your browser from the website that you visit and are stored on your computer’s hard drive. Our website uses these "cookies" to collect information and to improve our Service. You have the option to either accept or refuse these cookies, and know when a cookie is being sent to your computer. If you choose to refuse our cookies, you may not be able to use some portions of our Service.

Service Providers

We may employ third-party companies and individuals due to the following reasons:

- To facilitate our Service;
- To provide the Service on our behalf;
- To perform Service-related services; or
- To assist us in analyzing how our Service is used.

We want to inform our Service users that these third parties have access to your Personal Information. The reason is to perform the tasks assigned to them on our behalf. However, they are obligated not to disclose or use the information for any other purpose.

Security

We value your trust in providing us your Personal Information, thus we are striving to use commercially acceptable means of protecting it. But remember that no method of transmission over the internet, or method of electronic storage is 100% secure and reliable, and we cannot guarantee its absolute security.

Links to Other Sites

Our Service may contain links to other sites. If you click on a third-party link, you will be directed to that site. Note that these external sites are not operated by us. Therefore, we strongly advise you to review the Privacy Policy of these websites. We have no control over, and assume no responsibility for the content, privacy policies, or practices of any third-party sites or services.

Children's Privacy

Our Services do not address anyone under the age of 13. We do not knowingly collect personal identifiable information from children under 13. In the case we discover that a child under 13 has provided us with personal information, we immediately delete this from our servers. If you are a parent or guardian and you are aware that your child has provided us with personal information, please contact us so that we will be able to do necessary actions.

Changes to This Policy

We may update our Policy regarding Our Services from time to time. Thus, we advise you to review this website page periodically for any changes. We will notify you of any changes by posting the new Privacy Policy on this page: https://www.missionparkcapital.com. These changes are effective immediately only to website visitors after they are posted this website page.

We'll keep you informed

For clients, we will send you notice of our privacy policy annually for as long as you maintain an ongoing relationship with us. Periodically we may revise our privacy policy, and will provide you with a revised policy if the changes materially alter the previous privacy policy. We will not, however, revise our privacy policy to permit the sharing of non-public personal information other than as described in this notice unless we first notify you and provide you with an opportunity to prevent the information sharing. You may obtain a copy of our current privacy policy by contacting us at 413-597-8901.